



# Origin of enhanced carrier mobility and electrical conductivity in seed-layer assisted sputtered grown Al doped ZnO thin films

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## ARTICLE INFO

### Keywords:

Transparent conducting oxide  
Aluminium-doped zinc oxide  
Hall mobility  
Seed layer  
Defects  
Kelvin probe force microscopy

## ABSTRACT

In the present study, a ZnO seed-layer assisted sputtered deposition approach is used to enhance the carrier mobility and electrical conductivity of Al doped ZnO (AZO) thin film. The seed layer assisted grown AZO thin film showed an electrical conductivity, optical transmittance, and high figure-of-merit of  $1806.94 \pm 10.50$  S/cm,  $>90\%$  (Vis-NIR), and  $1.68 \times 10^{-2} \Omega^{-1}$ , respectively. This high optoelectronic properties make AZO thin film qualified to be used for transparent electrode applications. The carrier mobility in the seed-layer assisted grown AZO thin film is observed to be  $15.21 \pm 0.04$  cm<sup>2</sup>/Vs which is two-fold higher than AZO thin film grown without seed-layer. The origin of enhanced carrier mobility is investigated in the light of generated defects and their nanoscale distribution in the polycrystalline AZO thin film during the sputtering process. The low grain boundary potential is observed in seed layer assisted grown AZO thin film using nanoscale Kelvin probe force microscopy and was attributed to the low defects segregation towards grain boundaries. The argument of low carrier defects like zinc interstitial and oxygen vacancies in seed-layer assisted grown AZO thin film is experimentally verified using X-ray photoelectron spectroscopy analysis. The analysis of defect chemistry and their nanoscale distribution helps us to understand that intrinsic defects and their segregation at grain boundaries critically affect the carrier mobility in AZO thin film.

## 1. Introduction

Transparent conducting oxides (TCOs) are the wide band gap materials ( $E_g > 3$  eV) and show high electrical conductivity ( $\sim 10^3$ – $10^4$  S/cm) and high optical transmittance ( $>85\%$ ) in Vis-NIR region. This idiosyncratic property makes TCO usable in flat panel display, light emitting diodes, electrochromic windows, photovoltaic devices, and thin film transistors [1–6]. Sn doped In<sub>2</sub>O<sub>3</sub> (ITO) is considered an industrially standard TCO due to its remarkable optoelectronic properties [7–10]. However, the scarcity and high cost of indium (In) in ITO have necessitated the need to find In-free TCO having the potential to replace ITO. Earth abundant Al doped ZnO (AZO) is the most preferable TCO to be used in optoelectronic devices, however, despite having high optical transmittance, the low carrier mobility is being considered a well-known challenge to enhance the electrical conductivity without compromising the optical transmittance window [11–14]. The electrical properties of AZO thin films were found strongly dependent on the grain size, defect generation, and their segregation towards grain boundaries [15–17]. The thickness-dependent electrical properties of

AZO thin film were investigated and defects were correlated with the thickness of the growing film [18,19]. Tseng et al. have studied the effect of AZO film thickness with its electrical, optical and structural properties and achieved maximum carrier mobility for the thickness more than 600 nm [15]. Many other reports have also shown that the electrical properties of AZO thin film are critically decided by the thickness of the grown film [20–22]. However, in all these reports, the dependency of electrical properties on the thickness of the film was correlated indirectly to the change in structural property and defect chemistry of grown AZO thin film. In an alternative approach, controlled plasma chemistry during the sputtering process using Radio Frequency (RF) superimposed Direct Current (DC) sputtering is used to improve the carrier mobility [16]. Kumar et al. have deposited AZO thin films using RF superimposed DC sputtering by controlled plasma chemistry and a substantial improvement in carrier mobility was observed at RF/(RF + DC) of 75% [23]. Similarly, improved optoelectronic properties of AZO thin film using RF superimposed DC sputtering for other oxides e.g., In-Zn-O, In-Sn-O, and Ga-Zn-O are reported [24–26]. However, tuning of RF/(RF + DC) growth parameters alter the energy

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<https://doi.org/10.1016/j.tsf.2020.137916>

Received 20 May 2019; Received in revised form 24 February 2020; Accepted 1 March 2020

Available online 02 March 2020

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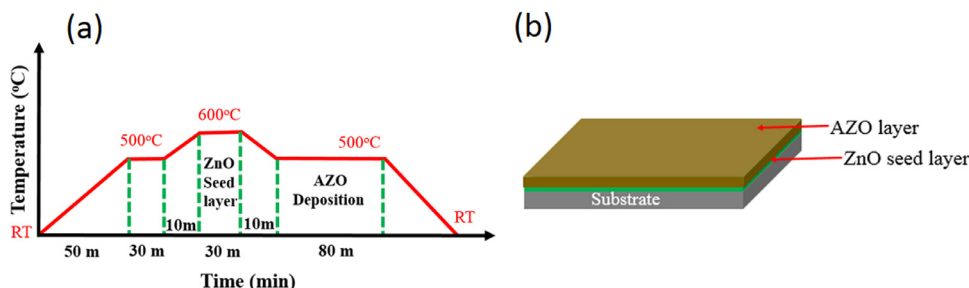


Fig. 1. (a) Temperature profile to deposit AZO thin film with the ZnO seed layer and (b) schematic of ZnO seed layer assisted AZO thin film.

Table 1

The electrical properties of AZO thin film deposited for different thicknesses of the ZnO seed layer of 20, 30, 40, and 50 nm.

Thickness (nm)	Electrical resistivity ( $\Omega$ cm)	Hall mobility ( $\text{Cm}^2/\text{Vs}$ )	Carrier density ( $\text{cm}^{-3}$ )
20	$(2.79 \pm 0.04) \times 10^{-3}$	$7.17 \pm 0.07$	$(3.12 \pm 0.03) \times 10^{20}$
30	$(2.43 \pm 0.01) \times 10^{-3}$	$9.23 \pm 0.01$	$(2.79 \pm 0.01) \times 10^{20}$
40	$(5.54 \pm 0.03) \times 10^{-4}$	$15.21 \pm 0.04$	$(7.42 \pm 0.02) \times 10^{20}$
50	$(4.67 \pm 0.09) \times 10^{-3}$	$4.31 \pm 0.15$	$(3.11 \pm 0.05) \times 10^{20}$

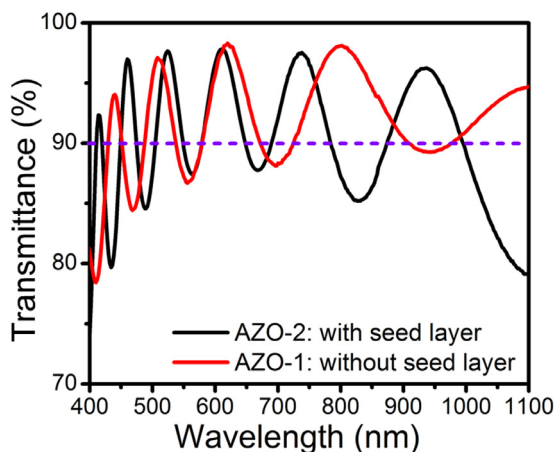


Fig. 2. Optical transmittance spectra of AZO thin films deposited without (AZO-1) and with the ZnO seed layer (AZO-2).

and flux of high energetic ions in the plasma and result in high residual stress in the grown thin film which is not desirable for next generation flexible devices [24,27]. The grain size and associated defects with the thickness and plasma chemistry were found to control the electrical properties of AZO thin film but none of the reports have studied the type of defects and their distribution in AZO thin film or effect of the seed layer. Recently Kanika et al. have shown the importance of the  $\text{Ga}_2\text{O}_3$  seed layer for the deposition of a better quality of  $\text{Ga}_2\text{O}_3$  film for UV blind photodetectors [28]. No study of the ZnO seed layer effect on

the optoelectronic properties of AZO thin films is reported so far. The study to control the defects and their nanoscale distribution in grain and grain boundary may critically affect the carrier scattering and requires to correlate the processing condition and optoelectronic properties of AZO thin film for their usage in next generation optoelectronic devices.

In this work, seed layer assisted grown AZO thin film shows two-fold enhanced carrier mobility in contrast to those grown without a seed layer. All AZO thin films showed a polycrystalline structure with nearly equal crystallite size. To understand the origin of enhanced carrier mobility, the generated defects, and their nanoscale distribution are investigated using X-ray Photoelectron Spectroscopy (XPS), nanoscale Kelvin Probe Force Microscopy (KPFM) and Conducting Atomic Force Microscopy (CAFM). The XPS results show low defects like zinc interstitial and oxygen vacancies in seed-layer assisted grown AZO thin films. The KPFM and CAFM results reveal the formation of low grain boundary potential and uniform surface current in seed layer assisted grown AZO thin film, respectively. The results reveal that low defects and their segregation at grain boundaries lead to reduced carrier scattering and enhanced carrier mobility in seed layer-assisted grown AZO thin films.

## 2. Experimental and characterization details

The AZO thin films are deposited by using the mixed ceramic target of 2 wt%  $\text{Al}_2\text{O}_3$  and 98 wt% ZnO target (K. J. Lesker) on the Corning EAGLE XG glass substrate. Before deposition, the glass substrates are cleaned in deionized water and soap solution followed by sonication for 30 min in acetone and propanol. The cleaned substrates are UV cured

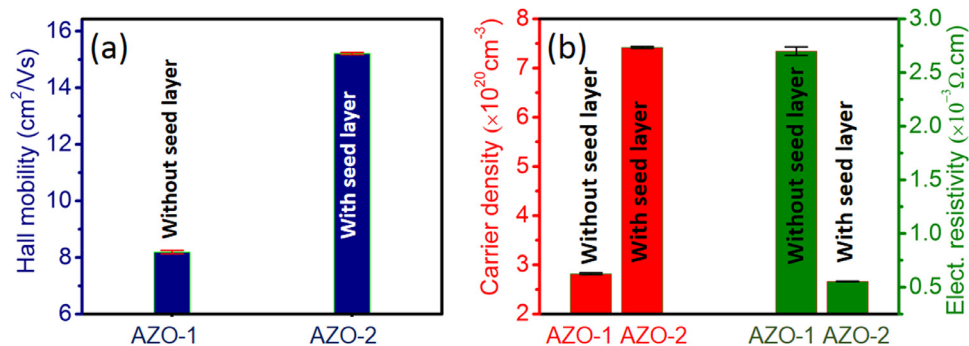


Fig. 3. (a) Hall mobility and (b) carrier concentration and electrical resistivity of AZO thin film deposited without (AZO-1) and with seed layer (AZO-2).

**Table 2**

Comparison between electrical conductivity and figure of merit of AZO thin films with reported literature and present work.

S. No	TCO thin film Structure	Growth Technique	Electrical conductivity (S/cm)	Figure of Merit (FOM)( $\Omega^{-1}$ )	Ref
1	AZO thin film	Sol-gel	2.34	$6.27 \times 10^{-8}$	[29]
2	AZO thin film	Sputtering	1400.56	NA	[33]
3	AZO thin film	Sputtering	286.45	NA	[34]
4	AZO thin film	Sputtering	1497.00	$1.11 \times 10^{-2}$	[35]
5	Hydrogen doped AZO	Chemical	1152.07	NA	[36]
6	AZO thin film	Chemical	101.01	NA	[37]
7	Cr coated AZO thin film	Sputtering	35.76	NA	[38]
8	AZO thin film	ALD	833.33	NA	[39]
9	AZO thin film	Sputtering	1000.00	NA	[40]
10	AZO thin film	Sputtering	833.33	NA	[41]
11	AZO/Ag/AZO	Sputtering	NA	$1.79 \times 10^{-3}$	[31]
12	AZO/Ag/AZO	Sputtering	NA	$9.00 \times 10^{-3}$	[42]
13	AZO/Ga/AZO	Sputtering	NA	$9.50 \times 10^{-4}$	[32]
14	AZO/AgNW/AZO	Sputtering	NA	$4.1 \times 10^{-3}$	[43]
15	AZO thin film	sputtering	$1806.94 \pm 10.50$	$1.68 \times 10^{-2}$	Present work

for 30 min and loaded into the high vacuum sputtering chamber. The vacuum chamber is pumped down to the base pressure of  $1.1 \times 10^{-4}$  Pa ( $8 \times 10^{-7}$  Torr). The Ar gas of flow rate of 50 SCCM is used to maintain the deposition pressure of  $6.7 \times 10^{-1}$  Pa (5 mTorr). The AZO thin films are deposited at 100 W RF power and  $6.7 \times 10^{-1}$  Pa (5 mTorr) sputtering pressure with and without the ZnO seed-layer. To deposit AZO thin film with the ZnO seed layer, the AZO and ZnO targets are mounted in the confocal arrangement in the sputtering chamber features two sputtering guns equipped with the separate gun shutters to cover the other target if it is not being used. The vacuum of the chamber is not broken and the ZnO seed layer assisted AZO thin film is deposited in one go using temperature profile shown in Fig. 1(a). A ZnO seed layer of  $40 \pm 10$  nm thickness is deposited at 600 °C at 50 W RF power followed by the deposition of AZO thin film of  $200 \pm 20$  nm thickness at 500 °C. The thickness of both AZO thin film without and with the ZnO seed layer ( $t_{\text{ZnO}} + t_{\text{AZO}}$ ) is kept almost the same  $\sim 250$  nm by varying the deposition time. The temperature profile and schematic of the ZnO seed layer assisted AZO thin film are shown in Figs. 1(a) and (b), respectively. The AZO thin film without the ZnO seed layer is grown at 500 °C under identical conditions.

AZO thin film deposited without the ZnO seed layer is named as AZO-1 and with the ZnO seed layer is named as AZO-2. The thickness of AZO thin films is measured and verified with quartz crystal monitor and Atomic Force Microscopy (AFM), respectively. The AZO thin films were also deposited with different thicknesses of the ZnO seed layer of 20, 30, and 50 nm. Among different thicknesses of the ZnO seed layer, the 40 nm of the ZnO seed layer has shown better electrical properties of AZO thin film, therefore, 40 nm of ZnO seed layer is chosen for further experiment. The electrical properties of the AZO thin film deposited with different thicknesses of the ZnO seed layer are shown in Table 1.

The optical properties of AZO thin films are measured by the Perkin Elmer lambda 950 UV/Vis spectrophotometer. The optical transmittance is measured with respect to the Corning EAGLE XG glass substrate. The electrical properties of AZO thin films are studied by the ezHems Nanomagnetism Hall measurements system with the magnetic field of 1 Tesla at room temperature. For electrical properties measurements, a glass substrate of 1 cm  $\times$  1 cm area is used. Structural analysis is done with Rigaku smartlab X-ray diffractometer. The PhotonMax high-flux 9 kW rotating anode X-ray source coupled with a HyPix-3000 high-energy-resolution 2D multidimensional semiconductor detector is used to record the XRD pattern. The KPFM is measured using Agilent 5500 multimode scanning probe microscopy system. Multi75E-G budget sensor with Cr/Pt coating tip having a resonance frequency of 75 kHz and a force constant of  $3 \text{ N m}^{-1}$  is used for KPFM measurements. The first resonance frequency of 67 kHz is fed into the first lock-in amplifier (L1A1) and the second resonance

frequency of 5 kHz is fed into the second lock-in amplifier (L1A2). The separation between tip and sample is controlled by the L1A1 to do topographic and phase imaging while L1A2 is used for surface potential measurements. L1A2 provides an electrical oscillation to the tip at 5 kHz with a dc offset of  $-3$  V and the drive percentage of L1A2 was approximately 15% to attain a surface potential amplitude of 0.2 V. The AC/DC bias voltage is applied to the sample during the KPFM measurements. Surface potential vs. z scan is performed to avoid the interference of surface topography into the KPFM signal. CAFM measurements are performed in contact mode using a Cr/Pt coated Si tip (Budget Sensors ContE-G; tip radius 20 nm; force constant of  $0.2 \text{ N m}^{-1}$ ; resonance frequency of 13 kHz) with 4 V sample bias. For defects and chemical composition, XPS measurements are performed using Thermo Fisher scientific ESCALAB Xi+ model using Al  $K_{\alpha}$  source with the energy of 1486.6 eV. The AZO films are pre-sputtered with Ar ions of 1 KeV beam energy. The sample current is kept  $1 \mu\text{A}$ . Initially, the chamber is pumped down to the base pressure of  $5 \times 10^{-8}$  Pa. Later, for charge neutralization and sample cleaning, Ar gun is introduced inside the chamber which reduces the base pressure to  $\sim 5 \times 10^{-6}$  Pa. For deconvoluting and fitting the XPS peaks, "XPS PEAK 41" software is used. All the peaks fitting are done with Shirley background.

### 3. Results and discussion

The optical transmittance of AZO thin film without the ZnO seed layer (AZO-1) and with the ZnO seed layer (AZO-2) is shown in Fig. 2. AZO thin films showed  $\sim 90\%$  transmittance in Vis to NIR region. The formation of fringes in transmittance spectra is due to the interference formation of light from different interfaces. The optical band gap of AZO-1 and AZO-2 was also calculated using the Tauc plot and found 3.26 eV and 3.38 eV, respectively.

The electrical properties of AZO thin films deposited without the ZnO seed layer (AZO-1) and with the ZnO seed layer (AZO-2) are shown in Fig. 3. The Hall mobility, electrical resistivity, and carrier density of AZO thin film deposited without seed layer (AZO-1) were  $8.19 \pm 0.06 \text{ cm}^2/\text{Vs}$ ,  $(2.70 \pm 0.04) \times 10^{-3} \Omega \text{ cm}$ , and  $(2.82 \pm 0.02) \times 10^{20} \text{ cm}^{-3}$ , respectively. When the AZO thin film is deposited over the ZnO seed layer, the electrical properties of AZO are enhanced drastically. The Hall mobility, electrical resistivity, and carrier density of AZO thin film were  $15.21 \pm 0.04 \text{ cm}^2/\text{Vs}$ ,  $(5.54 \pm 0.03) \times 10^{-4} \Omega \text{ cm}$ , and  $(7.42 \pm 0.02) \times 10^{20} \text{ cm}^{-3}$ , respectively. Fig. 3(a) shows the variation in Hall mobility in AZO thin film without and with ZnO seed layer incorporation.

Hall mobility is found nearly double after depositing AZO thin film with the ZnO seed layer. Fig. 3(b) shows the variation of carrier density and the electrical resistivity of AZO thin film without and with the ZnO

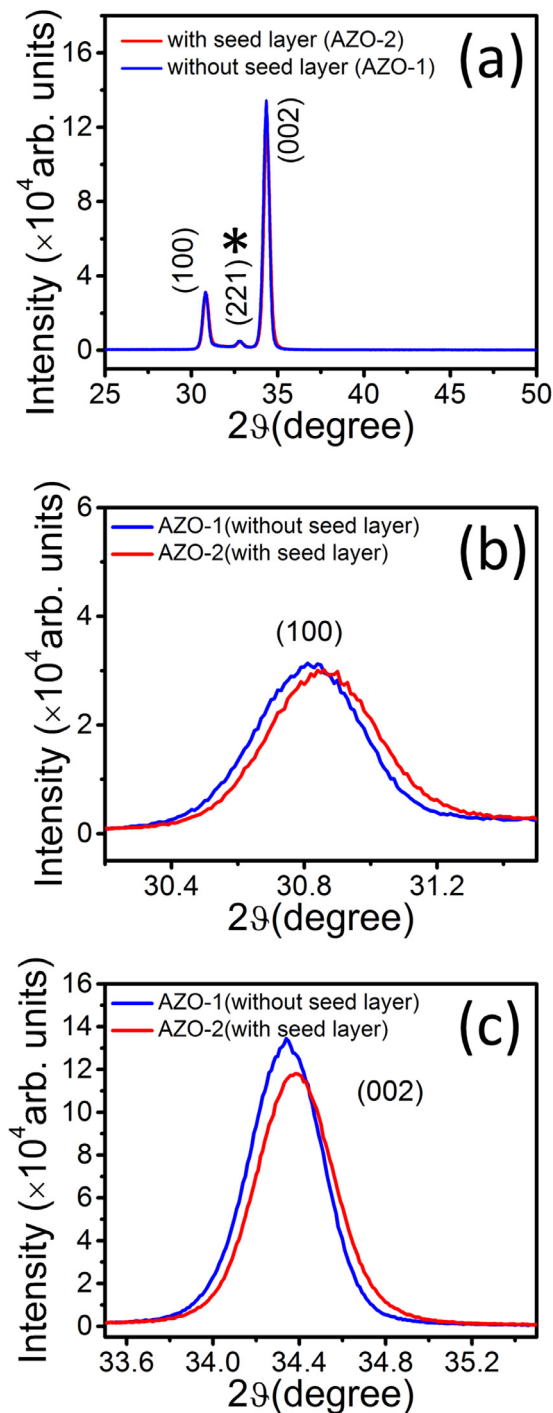


Fig. 4. (a) XRD pattern of AZO thin films without seed layer (AZO-1) and with seed layer (AZO-2) deposited on the corning glass [\* (221) peak corresponds to the Al<sub>2</sub>O<sub>3</sub> peak]. (b) and (c) are the XRD pattern that show the (100) and (002) peak shifts in AZO-1 and AZO-2 thin films, respectively.

seed layer. It is important to note that the electrical conductivity of AZO thin film deposited with the seed layer is enhanced by five-fold and reached the value of  $1806.94 \pm 10.50$  S/cm which is more than the minimum conductivity requirement for any TCO material to be used for optoelectronic applications. The increase in conductivity is attributed to the improved mobility and high carrier density in AZO-2 thin film. Moreover, the increase in the optical band gap of AZO-2 can be attributed to the Burstein Moss effect due to the high carrier density of AZO-2 than AZO-1 thin film. The free carriers may occupy the lowest conduction band minima states that may increase the energy separation

of available optical transition level from valence band maxima to conduction band minima and subsequently increases the optical band gap of AZO-2. Along with high electrical conductivity, high optical transmittance is also considered for figure-of-merit (FOM) of TCO materials. FOM is also calculated for AZO thin film deposited without and with ZnO seed layer using Haacke's criteria as mentioned below:

$$\phi_H = \frac{T^{10}}{R_s} \quad (1)$$

Where, T and R<sub>s</sub> are the optical transmittance measured at 550 nm and sheet resistance of the thin film, respectively. FOM measures the quality of deposited thin film to fulfill the criterion of being a high performance TCO. The higher value of FOM depicts the better quality of TCO thin films. In the present study, FOM has been calculated and found to be  $2.72 \times 10^{-3} \Omega^{-1}$  and  $1.68 \times 10^{-2} \Omega^{-1}$  for AZO-1 and AZO-2, respectively. ZnO seed layer assisted AZO thin film showed FOM higher than the other previous report on AZO thin films and TCO/metal/TCO structure [29–32].

The electrical conductivity and FOM for previously reported AZO thin film are compared with the present data and the results are shown in Table 2.

To know about the structural orientations of deposited AZO thin films, X-ray diffraction is performed over AZO-1 and AZO-2 and XRD pattern of the samples are shown in Fig. 4(a). Both AZO-1 and AZO-2 showed highly polycrystalline nature and (100), (221), and (002) peaks were found. The (001) and (002) peaks correspond to the ZnO wurtzite structure (JCPDS #36–1451). The (002) peak showed high intensity as compared to (100) peak. A shift of (100) and (002) peaks towards lower 2θ was observed that depicts the presence of residual stress in the AZO thin films (Figs. 4(a) and (c)). The (100) and (002) peaks of AZO-1 are shifted more towards the lower value of 2θ than in AZO-2 indicating the presence of higher residual stress in AZO-1. In the XRD pattern of AZO thin films, a very small and broad peak at 32.79° corresponding to (221) plane of the Al<sub>2</sub>O<sub>3</sub> phase is also observed (JCPDS #46–1215). This peak suggests the segregation of a small amount of the Al<sub>2</sub>O<sub>3</sub> phase in AZO thin films. However, no peak shift of (221) peak is observed for the Al<sub>2</sub>O<sub>3</sub> phase in the AZO thin films. The reason for the formation of the Al<sub>2</sub>O<sub>3</sub> phase may be attributed to the deposition of AZO thin film at high temperature that leads the segregation of extra Al in AZO to form the Al<sub>2</sub>O<sub>3</sub> phase. The study also signified that an optimum composition of Al is required to achieve high optoelectronic properties in AZO thin film. In the present study, the phase segregation of Al<sub>2</sub>O<sub>3</sub> in AZO thin film indicates that standard 2 wt% composition of Al<sub>2</sub>O<sub>3</sub> in ZnO to improve the optoelectronic properties of ZnO may not be optimum. The average crystallite size of AZO thin films without and with ZnO seed layer was calculated using standard Scherrer formula:

$$L = \frac{0.9\lambda}{\beta \cos\theta} \quad (2)$$

Where λ, θ and β are X-ray wavelength, the Bragg's diffraction angle and full width at half maxima of the peak corresponding to the θ, respectively.

The average crystallite size for peak (100) for AZO-1 and AZO-2 was calculated ~23 and ~22 nm, respectively. Similarly, the average crystallite size for peak (002) for AZO-1 and AZO-2 was calculated ~21 and ~20 nm, respectively.

The surface morphology scan of AZO-1 and AZO-2 thin films is carried out using AFM. The surface topography of AZO-1 and AZO-2 has measured over a 1 μm × 1 μm scan area and shown in Fig. 5. It is clearly observed from Figs. 5(a) and (b) that the surface topography of AZO-1 shows a large granular structure in the entire scan region while the surface topography of AZO-2 consists of small granular structures.

The difference in granular size may be interpreted using different initial growth mechanisms and the impact of the seed layer during the growth of AZO thin film. The surface line profile of AZO-1 and AZO-2 is shown in Figs. 5(c) and (d), respectively. The surface line profile is



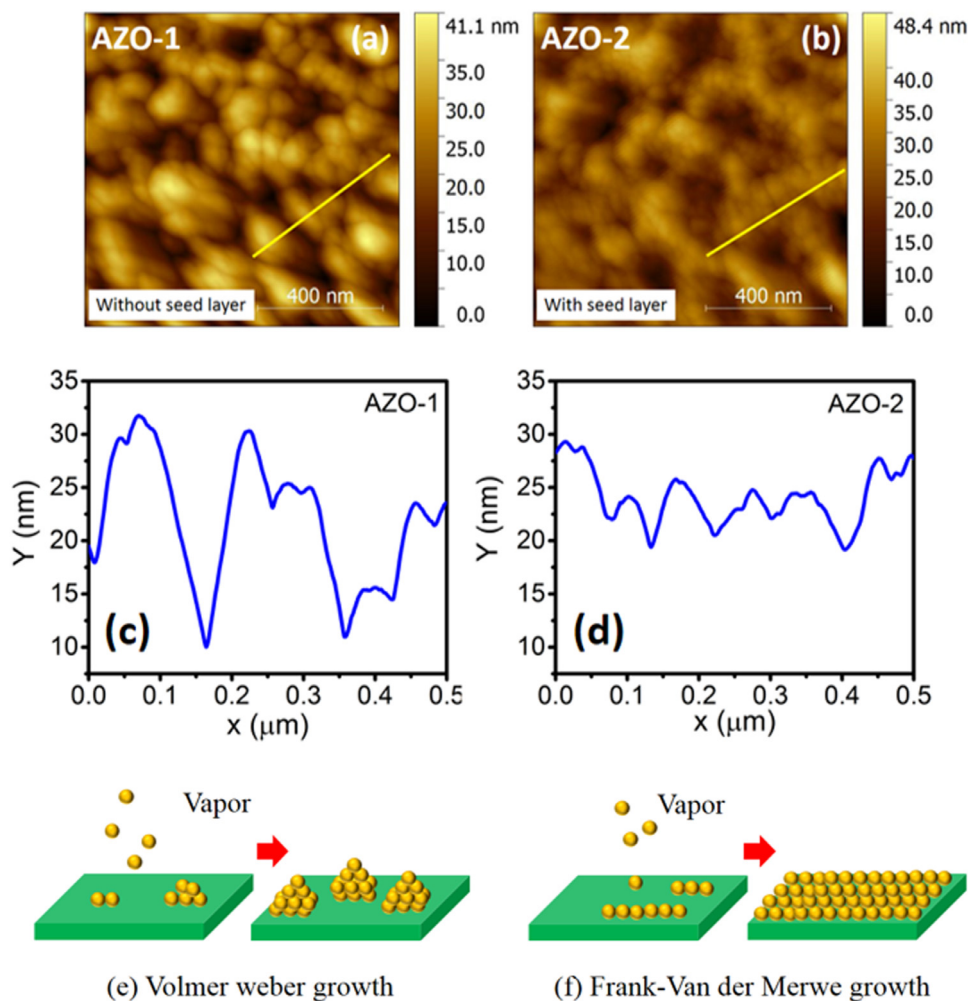


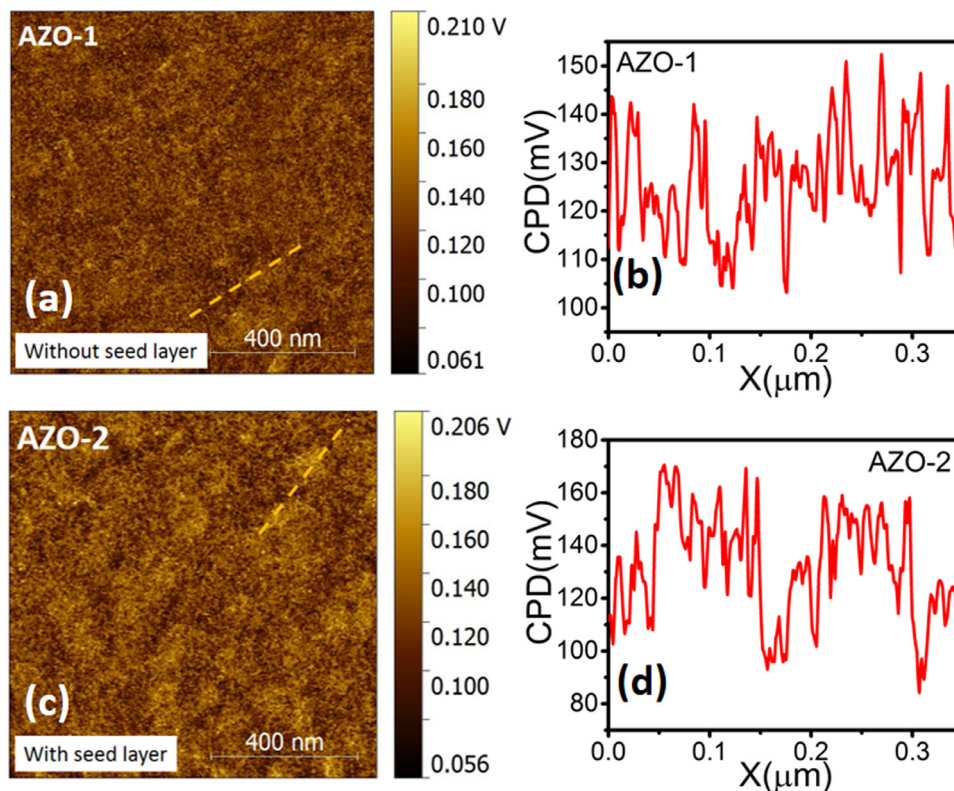
Fig. 5. Surface topography of AZO thin film deposited (a) without the ZnO seed layer and (b) with the ZnO seed layer. Line profile of AZO thin film taken over the yellow line drawn on the surface topography of AZO thin film deposited (c) without the ZnO seed layer and (d) with the ZnO seed layer. (e) and (f) show the schematic of the Volmer Weber growth and the Frank–Van der Merwe growth mechanism, respectively. The topography scan was performed over a  $1 \mu\text{m} \times 1 \mu\text{m}$  scan area.

taken over the yellow line drawn on the surface topography of AZO-1 and AZO-2, respectively. Figs. 5(c) and (d) also confirm the large granular size in AZO thin film deposited without the ZnO seed layer and smaller granular size in AZO thin film deposited with the ZnO seed layer. The AZO thin film deposited on the corning glass without the ZnO seed layer may have weak interaction with incoming sputtered AZO adatoms and glass substrate surface. This weak interaction might have initiated the island like growth (Volmer–Weber growth) and led a bigger granular size structure as shown by Fig. 5(a) and schematically shown in Fig. 5(e). On the contrary, in the case of the ZnO seed-layer assisted growth, incoming AZO adatoms have nearly the same surface energy as of the ZnO seed layer and adatoms have high interactions with seed-layer. Hence, this strong interaction between ZnO and AZO adatoms might have preferred the layer-by-layer like growth (Frank Van de Merwe) as shown by Figs. 5(b) and (f). Therefore, the growth model explains very well our surface topography of AZO-1 and AZO-2 thin films.

To understand the origin of high mobility in AZO thin film deposited with the ZnO seed layer (AZO-2), nanoscale Kelvin Probe Force Microscopy was performed. The KPFM images and variation of contact potential difference (surface potential) with respect to the lateral scale is shown in Figs. 6(b) and (d). The contact surface potential is measured along the yellow dashed line drawn on the KPFM images. The contact potential difference (CPD) is measured at different sites from peak to

valley and average is taken out to give the qualitative magnitude of surface potential in AZO thin film grown without and with the ZnO seed layer.

Surface potential can depict the grain boundary potential that is formed by the segregation of free carried defects and get accumulated at the grain boundaries [23,44]. These defects accumulation form a surface charge layer and build a potential barrier that acts as a scattering barrier for free electrons in AZO thin film. The average surface potential of  $122 \pm 8.9 \text{ mV}$  for AZO-1 while  $93 \pm 7.2 \text{ mV}$  for AZO-2 thin film is observed. From KPFM results, it can be interpreted that low potential barrier in AZO-2 thin film is responsible for reduced carrier scattering and enhanced Hall mobility. Hence, the ZnO seed layer played a crucial role in reducing the grain boundary potential by reducing the free carrier defects density in the AZO thin film. Yildiz et al. also calculated the potential barrier at grain boundaries using Arrhenius plot for different dopants like Al, In, and Sn in ZnO matrix and showed the dependence of electrical properties and figure of merit on the grain boundaries potential [45]. McKenna et al. showed theoretically that the segregation of point defects towards the grain boundaries causes accumulation of defects and generation of space charge across the grain boundaries [44]. The accumulation of defects across grain boundaries may tend to arise potential fluctuations at grain boundaries and therefore, the electron transport properties can be limited by the potential barrier across the grain boundaries [46].



**Fig. 6.** (a) and (c) are the Kelvin Probe Force Microscopy (KPFM) of AZO thin film without and with the ZnO seed layer. (b) and (d) are the line profile of surface potential at mentioned locations in (a) and (c) profile, respectively.

To experimentally validate the low potential barrier due to the low defects segregation at grain boundaries in seed layer assisted grown AZO thin film, Conducting Atomic Force Microscopy and X-ray photoelectron spectroscopy are performed on AZO-1 and AZO-2 samples. For CAFM measurement Cr/Pt coated Si tip is used to measure the in the plane surface current. The substrate is biased at  $-4$  V. Since the work function of AZO thin film and tip are 4.85 eV and 5.00 eV, respectively so under the current biasing condition the electron will flow towards the tip upon the biasing of the sample. The current measured will be due to the majority carrier in AZO thin film i.e. electrons. Fig. 7 shows the CAFM images and the surface current profile vs lateral distance where Figs. 7(a) and (c) are the CAFM images and Figs. 7(b) and (d) are the surface current profile taken along the yellow dashed line.

It is clearly evident from Figs. 7(a) and (c) that the surface current is much uniformly distributed in AZO-2 thin films as compared to AZO-1 thin films, respectively. Figs. 7(b) and (d) are the surface current profile taken for the yellow dashed line drawn on Figs. 7(a) and (c), respectively. The uniformity of surface current in AZO-2 can be interpreted as the presence of less number of defects that leads to the uninterrupted flow of carriers. On the application of biasing at the substrate, the free electrons will experience an electrostatic force that will drive them to recombine/trapped with the free carrier defects and the current uniformity detected by the CAFM measurements will be highly affected.

Fig. 8 shows the schematic of CAFM measurements. The CAFM image of AZO-1 showed the poor uniformity of surface current in contrast to AZO-2. The poor uniformity of surface current in AZO-1 may be attributed to the presence of a higher number of defects than in AZO-2 thin film with the ZnO seed layer. Therefore, the lesser number of defects in AZO-2, as suggested by the CAFM measurements forms a low potential barrier of electrons and contributes to the high carrier mobility. The schematic view of the recombination of electrons with traps states in AZO thin film deposited without and with the ZnO seed layer is shown in Fig. 8. Fig. 8 depicts the higher trap states in AZO thin film deposited without the ZnO seed layer than AZO thin film deposited with

the ZnO seed layer. The presence of higher traps states in AZO-1 gives less uniform surface current as compared to AZO-2. Therefore, Fig. 8 helps us to understand the uniformity of surface current and nanoscale distribution of trap states formed by the defects in both AZO-1 and AZO-2 thin films. Likovich et al. also demonstrated the accumulation of trap states near grain boundaries using scanning tunneling microscopy in AZO and showed their impact on electrical properties due to the formation of potential barriers [47].

To study more profoundly about the defects and their nature in AZO thin films, X-ray photoelectron spectroscopy was carried out over the AZO thin films. The carbon correction has been done using an adventitious carbon C1s peak present at 284.8 eV.

The O1s peak of AZO-1 and AZO-2 is deconvoluted into three  $O_I$ ,  $O_{II}$  and  $O_{III}$  sub-peaks (Figs. 9(a) and (b)). The  $O_I$ ,  $O_{II}$ , and  $O_{III}$  peaks present around 530.45 eV, 530.96 eV and 532.10 eV correspond to the  $O^{2-}$  ions bonded to the  $Zn^{2+}$  in the wurtzite structure of hexagon array of the ZnO, oxygen vacancies in the Zn-O matrix and loosely bound chemisorbed oxygen, respectively [23,48–50]. Therefore, any variation in the area of  $O_{II}$  peak is directly correlated to the concentration of oxygen vacancies in the AZO thin films [49,51,52].

To get the qualitative information about oxygen vacancies, the ratio  $O_{II}/(O_I + O_{II} + O_{III})$  was calculated and shown in Table 3. The ratio was found 0.63 for AZO-1 and 0.53 for AZO-2 that depicts the low oxygen vacancies (point defects) in AZO-2 thin films. Since, free carries defects migrate towards the grain boundaries and develop a surface charge layer and form grain boundary potential [23,44]. Therefore, the low value of  $O_{II}/(O_I + O_{II} + O_{III})$  ratio for AZO-2 suggests low oxygen vacancies that may be responsible for low grain boundaries potential.

Similarly, to get the information about zinc related defects, the Zn 2P 3/2 peak also deconvoluted into Zinc interstitials ( $Zn_i$ ) peak and Zinc bonded to oxygen peak in the ZnO matrix (Zn-O). The peak at 1021.35 eV and 1022.2 eV correspond to the  $Zn_i$  and Zn-O peak, respectively that give the qualitative information about the zinc interstitials (metallic zinc) and zinc bonded to oxygen in ZnO matrix,

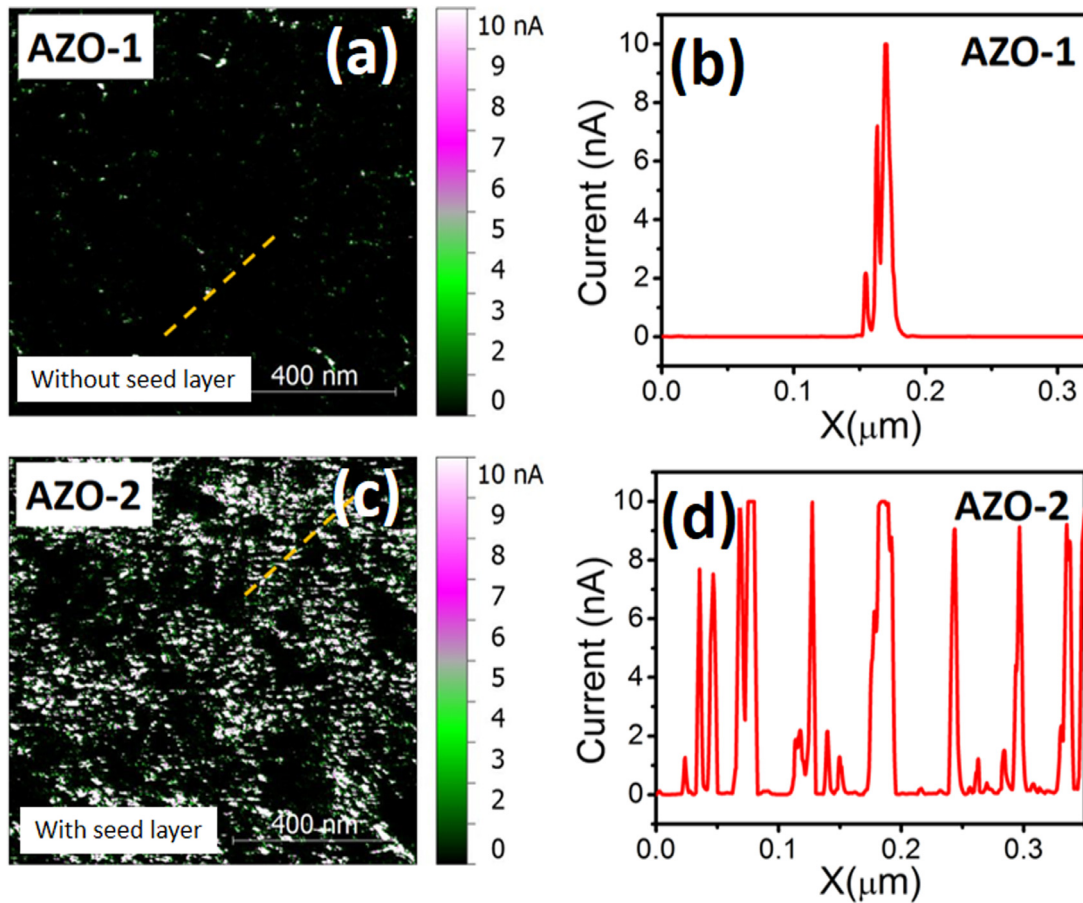


Fig 7. (a) and (c) are the CAFM images of AZO thin film without the ZnO seed layer and with the ZnO seed layer. (b) and (d) are the surface current vs. lateral scan profile taken for the yellow dashed line drawn on the (a) and (c) profile, respectively.

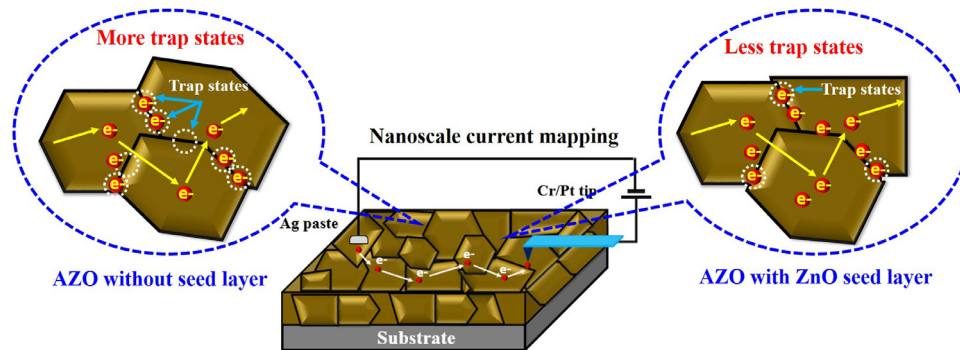


Fig. 8. Schematic diagram of CAFM measurement of the ZnO seed layer assisted AZO thin film where the substrate is biased. Zoom out view of CAFM measurement shows the recombination of electron-hole pair due to the defects states present near the grain boundaries.

respectively [48–50]. Figs. 9(c) and (d) show the deconvoluted XPS spectra of Zn 2P 3/2 peak of AZO-1 and AZO-2, respectively.

It is evident from Figs. 9(c) and (d) and table 4 that AZO-1 contains high zinc interstitials (other zinc related defects) than AZO-2. The large area of  $Zn_i$  peak of AZO-1 is clearly an indication of the presence of a large number of Zinc interstitials that may form defects states.

Therefore, on summarizing the XPS analysis of AZO-1 and AZO-2, large oxygen related defects and zinc related defects were shown by the AZO-1 thin film and these defects may be migrated towards the grain boundaries to build up a high grain boundary potential as compared to AZO-2 that exhibited less free defects states and small potential barrier. The XPS analysis also supports and validates the argument of low free defects suggested by the CAFM measurements for AZO-2 thin films.

In order to understand the origin of an increase in carrier density in ZnO seed layer assisted grown AZO thin film, the XPS spectra of Al 2p of AZO-1 and AZO-2 is also deconvoluted into two peaks (Figs. 9(e) and (f)). The green peak around 74.7 eV corresponds to the substitution of Al into  $Zn^{2+}$  sites of the ZnO matrix and confirms the formation of the AZO phase [48,53]. The pink peak that lies around 73.6 eV, in between 72.7 eV (metallic Al) and 74.7 eV (AZO) is attributed to the formation of non-stoichiometric of  $Al_xO_y$ . Therefore, it may be concluded that Al atoms substitute for Zn atoms in AZO thin film and forms a non-stoichiometric complex which after heat treatment can act as an Al donor [37]. It is notably apparent from the comparison of Figs. 9(e) and (f) that the area of pink peak is higher in AZO-2 than AZO-1 which subsequently gives to AZO-2 an increased carrier density. Moreover, the



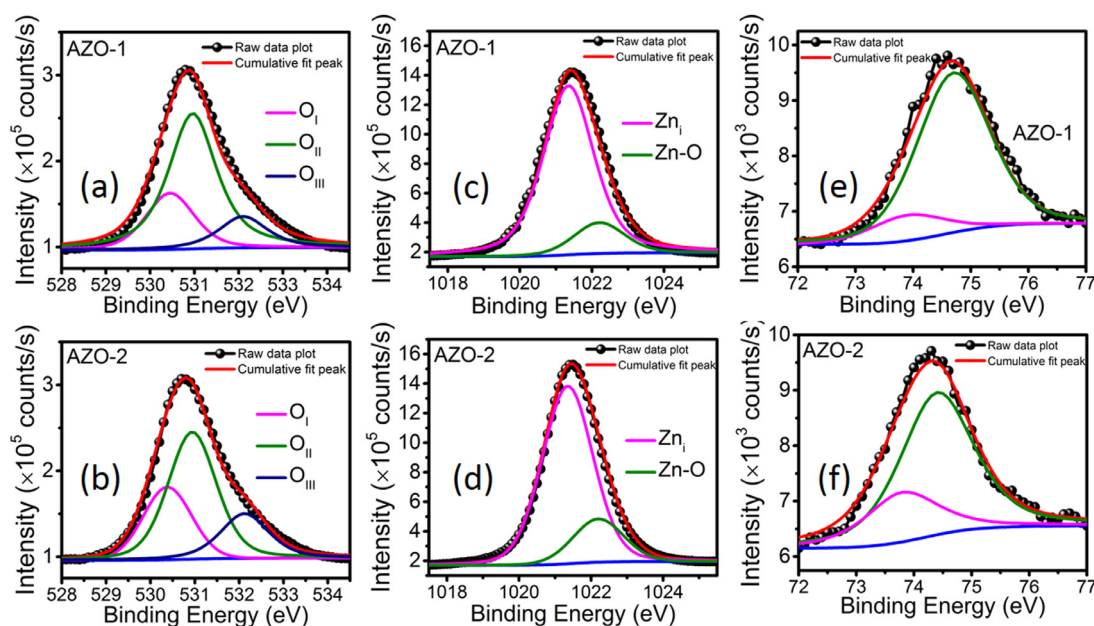


Fig. 9. XPS spectra of O 1s peak of (a) AZO thin film without the ZnO seed layer (AZO-1) and (b) AZO thin film with the ZnO seed layer (AZO-2). XPS spectra of Zn 2P peak of (c) AZO thin film without the ZnO seed layer (AZO-1) and (d) AZO thin film with the ZnO seed layer (AZO-2). The Al 2p XPS spectra of (e) AZO thin film deposited without the ZnO seed layer (AZO-1) and (f) AZO thin film deposited with the ZnO seed layer (AZO-2).

Table 3

Peak position and area of O<sub>I</sub>, O<sub>II</sub>, and O<sub>III</sub> peaks are shown and O<sub>II</sub>/(O<sub>I</sub> + O<sub>II</sub> + O<sub>III</sub>) is also calculated to quantify the oxygen vacancies.

Sample name	Peak Position O <sub>I</sub>	Area O <sub>I</sub>	Peak Position O <sub>II</sub>	Area O <sub>II</sub>	Peak Position O <sub>III</sub>	Area O <sub>III</sub>	O <sub>II</sub> /(O <sub>I</sub> + O <sub>II</sub> + O <sub>III</sub> )
AZO-1	530.45	105,718.5	530.96	287,203.9	532.10	65,458.03	0.63
AZO-2	530.38	118,090.1	530.94	230,671.0	532.12	88,079.49	0.53

Table 4

Peak position and area of Zn 2P deconvoluted into Zn interstitials (Zn<sub>i</sub>) peak and zinc bonded to oxygen (Zn-O).

Sample name	Peak Position Zn <sub>i</sub>	Area Zn <sub>i</sub>	Peak Position Zn-O	Area Zn-O
AZO-1	1021.35	2,450,009	1022.2	412,214.6
AZO-2	1021.35	2,273,272	1022.2	543,636.6

area of green peak is lesser in AZO-2 than AZO-1 that may be interpreted as the formation of more Al<sub>x</sub>O<sub>y</sub> non-stoichiometric complex than Al atoms substitution at Zn<sup>2+</sup> sites in the ZnO matrix. The analysis of Al 2P XPS spectra elucidates the origin of high carrier density in the ZnO seed layer assisted AZO thin film.

The present work has elucidated the importance of free carrier defects in controlling the carrier mobility and origin of enhanced carrier mobility in the ZnO seed layer grown AZO thin film. Nanoscale carrier transport and nanoscale defect distribution also showed that the seed layer controls the defects in the AZO thin films and improves the optoelectronic properties.

#### 4. Conclusions

AZO thin film deposited with the ZnO seed layer showed an almost two-fold increase in carrier mobility and high electrical conductivity than AZO thin film grown without the ZnO seed layer. Both AZO thin films without and with the ZnO seed layer showed highly polycrystalline nature. To understand the origin of enhanced carrier mobility and electrical conductivity in the ZnO seed layer assisted AZO thin films, KPFM was performed that showed low grain boundary potential for the

ZnO seed layer grown AZO thin films and high grain boundary potential for AZO film deposited without ZnO seed layer. The low grain boundary potential in the ZnO seed layer assisted AZO thin film was attributed to the presence of low zinc and oxygen related defects than AZO thin film deposited without the ZnO seed layer. The low defects argument in the ZnO seed layer grown AZO thin film was validated by the nanoscale current mapping and nature of defects generated in AZO thin films. The nanoscale surface current mapping in both the AZO thin films showed nanoscale defects distribution and recombination of free carriers.

#### Author contribution section

Authors	Contribution
Naveen Kumar and Mukesh Kumar	Identification of problem, execution, data analysis, and manuscript writing
Ashrafai Haider Chowdhury Behzad Bahrami Khan Mamun Reza Qiuan Qiao	Performed XRD, AFM, CAFM, and KPFM measurements. Check the manuscript.

#### Declaration of Competing Interests

None.

#### Acknowledgement

Author sincerely acknowledges the department of physics, IIT Ropar



for SEED grant and funding through the interdisciplinary Solar PV project from Indian Institute of Technology Ropar.

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